2671 MON 0 3 5003 ER TRADE Application No. 09/435,940 TRANSMITTAL FORM Filing Date November 9, 1999 (to be used for all correspondence after initial filing) Lewis V. Rothrock First Named Inventor Art Unit 2671 <del>NOV 0 5 20</del>**D**3 **Examiner Name** Scott A. Wallace 42390P5387 Technology Center 2600 Total Number of Pages in This Submission 16 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) After Final Petition to Convert a Provisional Application **Proprietary Information** Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter Extension of Time Request Other Enclosure(s) Terminal Disclaimer (please identify below): **Express Abandonment Request** Request for Refund Return Receipt Postcard Information Disclosure Statement PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Joseph Lutz, Reg. No. 43,765 Individual name TAYLOR & ZAFMAN LLP BLAKELY, SOKOLOFE Signature 2003 Date **CERTIFICATE OF MAILING/TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Marilyn Bas

Signature

Date

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FEE TRANSMITTAL for FY 2003	Complete if Known					
REE IKANSIVII IAL	Application Number	09/435,940				
for FY 2003	Filing Date	November 9, 1999				
Effective 01/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Lewis V. Rothrock				
Applicant claims small entity status. See 37 CFR 1.27.	 Examiner Name	Scott A. Wallace				
	 Group/Art Unit	2671				
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	42390P5387				
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
,	 ADDITIONAL SEED					

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Deposit Accou	иц				Fee	Fee	Fee	Fee	Technology Ce	nter 260
Deposit Account		02-2666			Code	(\$)	Code	(\$)	Fee Description	" Heel Pad
Number		02-2000	,		1051	130	2051	65 ~	Surcharge - late filing fee or oath	
Deposit Account D	111 61	1 CC 75 1	0.77.6		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Name B	lakely, Soko	oloff, Taylo	r & Zafm	an LLP	2053	130	2053	130	Non-English specification	
The Commission	er is authorized t	o: ( check all tha	t apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s)	indicated below	☐ Cr	edit any overpa	yments	1804	920 *	1804	920 1	* Requesting publication of SIR prior to Examiner action	
Charge any a	dditional fee(s) requ	uired under 37 CF	R §§ 1.16, 1.17,	1.18 and 1.20.	1805	1,840 *	1805	1,840 1	* Requesting publication of SIR after	
	indicated below, e		ng fee		1				Examiner action	
to the above-identified deposit account				1251	110	2251	55	Extension for reply within first month	-	
	FEE CA	LCULATIO	N		1252	420	2252	210	Extension for reply within second month	
1. BASIC	FILING FE	E			1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity	For December		5.50	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Fee f Code (\$)	Fee Description		FeePaid	1255	1,210	2255	605	Extension for reply within fifth month	
1001 770	2001 385	Utility filing fee	•		1404	330	2401	165	Notice of Appeal	
1002 340	2002 170	Design filing fee	9		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530	2003 265	Plant filing fee			1403	290	2403	145	Request for oral hearing	
1004 770	2004 385	Reissue filing fe	98		1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Provisional filing	g fee		1452	110	2452	55	Petition to revive - unavoidable	
	SUBT	TOTAL (1)	(\$)		1453	1,330	2453	665 	Petition to revive - unintentional	
					1501 1502	1,330 480	2501 2502	665 240	Utility issue fee (or reissue) Design issue fee	
2. EXTR	A CLAIM FE	ES <sub>Extra</sub>	Fee from below	FeePaid	1503	640	2503	320	Plant issue fee	
Total Claims		_ [ x		: [	1460	130	2460	130	Petitions to the Commissioner	
Independent Claims	- 43	- x		<u>.</u>	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Multiple Dependent		<b>——</b> ".	<u> </u>	<u>,</u>	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity	Small Entity				8021	40	8021	40	Recording each patent assignment per	· ·
Fee Fee	Fee Fee	Fee Description	•			-			property (times number of properties)	
Code (\$)	Code (\$)				1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9	Claims in excess			4010	770	2810	205		l
1201 86	2201 43	Independent clair			1810	110	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 1204 86	2203 145 2204 43	Multiple Depende			1801	770	2801	385	Request for Continued Examination (RCE)	
,,,,, w	227 40	patent			1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205 9	**Reissue claims original patent	in excess of 20	and over	Other fe	s (specify)	ı		ora acaigir application	
SUBTOTAL (2)						* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)				
**or nur	nber previously pa	id, if greater, For F	Reissues, see be	elow		, _ 200			(4)	

SUBMITTED BY						Complete (if applicable)		
	Name (Print/Type)	Joseph Lutz	Registration No. (Attorney/Agent)	43,765	Telephone	(310) 207-3800		
	Signature	DERT			Date	10-28-03		

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zatmart (wir) 08/41/2003. SEND TO: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE

APPLICATION OF:

L. Rothrock

ART UNIT:

2671

APPLICATION NO.:

09/435,940

EXAMINER:

Wallace, Scott A.

FILED:

TITLE:

November 9, 1999

**DIGITAL IMAGE** 

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**Technology Center 2600** 

## AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Non-Fee Amendment Commissioner for Patents P. O. 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 30, 2003, Applicant respectfully requests entry of the following amendment, and reconsideration and withdrawal of the rejections and objections to the disclosure.